

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2021

STOCK CORPORATION



GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.		DATE REGISTERED: 10/4/2010	
BUSINESS/TRADE NAME: ETIQA PHILIPPINES, ETIQA PHILS., ETIQA PH, ETIQA, ELGAP, ELGAPI		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: CS201015592		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 007-884-672	
DATE OF ANNUAL MEETING PER BY-LAWS: Third Tuesday of March		WEBSITE/URL ADDRESS: www.etiqa.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 16-Mar-21		E-MAIL ADDRESS: legal@etiqa.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS: 3F Morning Star Center, #347 Sen. Gil J. Puyat Avenue, 1209 Makati City, Metro Manila		FAX NUMBER: (+632) 8895-8519	
COMPLETE BUSINESS ADDRESS: 3F Morning Star Center, #347 Sen. Gil J. Puyat Avenue, 1209 Makati City, Metro Manila	OFFICIAL E-MAIL ADDRESS legal@etiqa.com.ph	ALTERNATE E-MAIL ADDRESS compliance@etiqa.com.ph	OFFICIAL MOBILE NUMBER 0928-5031160
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP GORRES VELAYO & CO. Partner: Miguel U. Ballelos, Jr.	SEC ACCREDITATION NUMBER (if applicable): 1566-AR-1	ALTERNATE MOBILE 0919-0618370	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To carry on the business of insurance upon the lives of individuals, and to carry on the business of non-life insurance.	INDUSTRY CLASSIFICATION: LIFE AND NON-LIFE INSURANCE	TELEPHONE NUMBER(S): (+632) 8890-1758 loc 205	
GEOGRAPHICAL CODE: 137602000			

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
Etiqa International Holdings Sdn. Bhd.	N.A.	Level 22, Tower C, Dataran Maybank, No. 1, Jalan Maarof 59000 Kuala Lumpur, Malaysia
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ **Yes** ☐ **No**

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	124,499,404	10.00	1,244,994,040.00			
	PREFERRED	50,059,600	0.10	5,005,960.00			
TOTAL		174,559,004	TOTAL P	1,250,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	10	COMMON	52,672,087		10.00	526,720,870.00	31.69%
TOTAL		52,672,087	TOTAL	TOTAL P	526,720,870.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
MALAYSIAN	3	COMMON	63,499,999		10.00	634,999,990.00	68.31%
		PREFERRED	50,059,600		0.10	5,005,960.00	
Percentage of Foreign Equity :		TOTAL	113,559,599	TOTAL	TOTAL P	640,005,950.00	
					TOTAL SUBSCRIBED P	1,166,726,820.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	10	COMMON	52,672,087	10.00	526,720,870.00	31.69%	
TOTAL		52,672,087	TOTAL P	526,720,870.00			
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
MALAYSIAN	3	COMMON	63,499,999	10.00	634,999,990.00	68.31%	
		PREFERRED	50,059,600	0.10	5,005,960.00		
TOTAL		113,559,599	TOTAL P	640,005,950.00			
					TOTAL PAID-UP P	1,166,726,820.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Kamaludin Bin Ahmad No. 27, Jalan Suasana 5/3, Bandar Tun Hussein Onn, Batu 9, Cheras, Selangor, Malaysia	Malaysian	N	C	M	Y	Chairman		438-339-500
2. Lee Hin Sze No. 27, Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia	Malaysian	N	M	M	Y	Vice-Chairman	ACB/M CGC/M RPT/M	702-464-981
3. Manuel N. Tordesillas 30-A Luna Gardens, Residential Road, Rockwell Center, Makati City	Filipino	Y	M	M	Y	N/A		178-610-428
4. Eulogio A. Mendoza No. 33 Dona Ines St., Alabang Hills, Muntinlupa City	Filipino	Y	M	M	Y	N/A		129-544-622
5. Rico T. Bautista No. 15 Via Milano St., Villa Firenze Subd., Culiab, Tandang Sora, Quezon City	Filipino	N	M	M	Y	President/CEO		173-886-802
6. Ma. Victoria C. Viñas No. 7 Cauli Flower St., Valle Verde 5, Pasig City	Filipino	N	I	F	Y	N/A	ACB/C CGC/M RPT/M	119-198-100
7. Ricardo Nicanor N. Jacinto No. 30 Leo Street, Bel-Air Village, Makati City	Filipino	N	I	M	Y	N/A	ACB/M CGC/C RPT/C	107-042-629
8. <u>Helen T. De Guzman</u> <u>U512 Hudson, Riverfront Residences Condo, Dr. S. Antonio Ave., Caniogan, Pasig City</u>	<u>Filipino</u>	<u>N</u>	<u>I</u>	<u>F</u>	<u>Y</u>	<u>N/A</u>		<u>100-749-881</u>
9. James Patrick Q. Bonus 1601 Tower D, Jazz Residences, Brgy. Bel-Air, Makati City	Filipino	N	N	M	N	EVP, Treasurer & CFO		234-419-030
10. Raul M. Hebron No. 24 Zarotoga Loop St., Alabang 400 Village, Muntinlupa City	Filipino	N	N	M	N	EVP, Corporate Secretary Assistant		123-381-610
11. John Joseph S. Tamares No. 47C Matatag St., Brgy. Pinyahan, Diliman, Quezon City	Filipino	N	N	M	N	Corporate Secretary		294-977-910
12. Glenn Warren B. Navea No. 10 Pine St., Southview Homes, San Pedro City, Laguna	Filipino	N	N	M	N	EVP		108-672-926
13. Roman A. Doydora, Jr. No. 108 Anilao Bldg., Bonifacio Heights, Lawton Avenue, Taguig City	Filipino	N	N	M	N	SVP		100-890-720
14. Rogelio M. Sotelo No. 30 Buenaventura St., Bagong Ilog, Pasig City	Filipino	N	N	M	N	SVP		103-376-927
15. Marcelino V. Dailo, Jr. No. 14 Amethyst St., Tiera Pura 6 Subd., Congressional Avenue, Pasong Tamo, Quezon City	Filipino	N	N	M	N	SVP		115-773-621
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
16. Ariel S. Meneses No. 03, 8th Street, UPS5, San Isidro, Paranaque City	Filipino	N	N	M	N	SVP		123-035-411
17. Hazel Francisca R. Don Tower B 2720, SM Jazz Residences, N. Garcia St., Makati City	Filipino	N	N	F	N	FVP		153-609-335
18. Roselle P. Perez-Bariuan No. 277 Ipil St., Marikina Heights, Marikina City	Filipino	N	N	F	N	Compliance Officer		920-923-245

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		13		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		3
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				PhP7,417,369,942.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. Maybank ATR Kim Eng Capital Partners, Inc. Filipino 17th Flr., Tower One & Exchange Plaza, Ayala Triangle, Ayala Ave., Makati City	Common	47,142,286	471,422,860.00	28.36%	471,422,860.00	000-166-719
	TOTAL	47,142,286	471,422,860.00			
2. Manuel N. Tordesillas Filipino No. 30-A Luna Gardens, Residential Road, Rockwell Center, Makati City	Common	1	10.00	0.00%	10.00	178-610-428
	TOTAL	1	10.00			
3. Eulogio A. Mendoza Filipino No. 33 Dona Ines St, Alabang Hills, Muntinlupa City	Common	1	10.00	0.00%	10.00	129-544-622
	TOTAL	1	10.00			
4. Augusto Z. Fajardo Filipino No. 5 Dainty Street, White Plains Subd., Quezon City	Common	2	20.00	0.00%	20.00	135-818-928
	TOTAL	2	20.00			
5. Ma. Victoria C. Viñas Filipino No. 7 Cauli Flower St., Valle Verde 5, Pasig City	Common	1	10.00	0.00%	10.00	119-198-100
	TOTAL	1	10.00			
6. E-Marc Consultants, Inc. Filipino No. 33 Dona Ines St, Alabang Hills, Muntinlupa City		5,529,792	55,297,920.00	3.33%	55,297,920.00	213-358-868
	TOTAL	5,529,792	55,297,920.00			
7. Joven D. Reyes Filipino No. 1101 One Salcedo Place, Velasquez St., Salcedo Village, Makati city	Common	1	10.00	0.00%	10.00	107-171-806
	TOTAL	1	10.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		13		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PhP7,417,369,942				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Rico T. Bautista Filipino No. 15 Via Milano St., Villa Firenze Subd., Culiab, Tandang Sora, Quezon City	Common	1	10.00	0.00%	10.00	173-886-902
	TOTAL					
9. Etiqa International Holdings Sdn. Bhd. Malaysian Level 22, Tower C, Dataran Maybank, No. 1, Jalan Maarof 59000 Kuala Lumpur, Malaysia	Common	63,499,997	634,999,970.00	68.31%	640,005,930.00	005-037-588
	Preferred	50,059,600	5,005,960.00			
	TOTAL					
10. Kamaludin Bin Ahmad Malaysian No. 27 Jalan Suasana 5/3 Bandar Tun Hussein Onn, Batu 9, Cheras 43200, Selangor, Malaysia	Common	1	10.00	0.00%	10.00	438-339-500
	TOTAL					
11. Lee Hin Sze Malaysian No. 27 Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia	Common	1	10.00	0.00%	10.00	702-464-981
	TOTAL					
12. Ricardo Nicanor N. Jacinto Filipino No. 30 Leo Street, Bel-Air Village, Makati City	Common	1	10.00	0.00%	10.00	107-042-629
	TOTAL					
13. <u>Helen T. De Guzman</u> Filipino U512 Hudson, Riverfront Residences Condo, Dr. Sixto Anotonio Avenue, Caniogan, Pasig City	Common	1	10.00	0.00%	10.00	<u>100-749-881</u>
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,166,726,820.00	100.00%		
TOTAL AMOUNT OF PAID-UP CAPITAL			1,166,726,820.00			
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== **PLEASE PRINT LEGIBLY** =====

CORPORATE NAME:		ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		12		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		3
TOTAL ASSETS BASED ON LATEST AUDITED FS:		pHp7,417,369,942				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER-SHIP		
15.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	4,446,977.00		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	143,505,601.00		
1.3 LOANS/ CREDITS/ ADVANCES	0.00		
1.4 GOVERNMENT TREASURY BILLS	1,634,714,240.00		
1.5 OTHERS	311,418,498.00		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) N/A	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES N/A	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR		Php1,163,234,208.00	
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N/A			
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			Certificate of Authority
DATE ISSUED:			8/9/2019
DATE STARTED OPERATIONS:			8/22/1958
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
3,355,000.00	67	253	320

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **ATTY. JOHN JOSEPH S. TAMARES**, Assistant Corporate Secretary of **ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 21st day of October, 2021 in Makati City.

ATTY. JOHN JOSEPH S. TAMARES
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me in Makati City on 21st day of October 2021 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Driver's License with No. N-02-19-002261 issued at LTO Main - Quezon City on 16 January 2019.

ATTY. GEORGE DAVID D. SITON
NOTARY PUBLIC

APPT. NO. M-381-1/1718 DTC 31, 2021
ROLI NO. 68402/NOTICE COMPLIANCE NO. VI-0021936/3-29-2019
IBP O.R. No. 2373858-1/ETIQA IS MEMBERS MAY 3, 2017
PTR No. 8533858-1 JAN 04, 2021- MAKATI CITY
EXECUTIVE BLDG. CENTER MAKATI AVE., COR. JUPITER ST. MAKATI CITY

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PAGE NO. 75
BOOK NO. 180
SERIES NO. 1071

BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: **2021**

SEC REGISTRATION NUMBER:

CS201015592

CORPORATE NAME:

ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Kamaludin Bin Ahmad	No. 27, Jalan Suasana 5/3 Bandar Tun Hussein Onn, Batu 9, Cheras 43200, Selangor, Malaysia	Malaysian	08/19/1967	438-339-500	0.000000601%	N.A.	I, Director, Chairman of the Board
Lee Hin Sze	No. 27, Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia	Malaysian	01/18/1970	702-464-981	0.000000601%	N.A.	I, Director, Vice Chairman
Rico T. Bautista	No. 15 Milano St., Villa Firenze Subdivision, Culiati, Tandang Sora, Quezon City	Filipino	01/08/1973	173-886-802	0.000000601%	N.A.	I, Director, President and CEO

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.